University of Pennsylvania | Securities Transfer Form

Section I  This is a request to

☐ I wish to donate shares of stock to the University of Pennsylvania.

 Shares can be transferred directly from your brokerage account to Penn’s account at Morgan Stanley. Please complete the form and send it to your broker to initiate the transfer and forward a copy of the form to the Securities Office via email to StockGifts@lists.upenn.edu or fax 215-573-5118.

☐ I wish to donate mutual funds or other types of financial instruments to the University of Pennsylvania. Please contact or ask your broker to contact the University of Pennsylvania Securities Office at 215-898-7254 for further information.

Section II  Donor Information

Name: ___________________________ Telephone: _________________

Address: ___________________________

City: _______________ State: _______ Zip Code: ___________ Country: ___________

If Penn alumna/us: Degree year _____ School _______________________________________

Section III  Gift Information

Broker/Advisor Name ___________________________ Telephone ___________________________

Brokerage Firm _________________________________________________________________


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<th># of Shares</th>
<th>Security Description</th>
<th>Ticker Symbol</th>
<th>Gift Designation</th>
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Section IV  Security Instructions

Firm: Morgan Stanley Private Wealth Management

   Attention: The Corcoran Ciarelli Group, Telephone: 610-260-8526

DTC: 0015

Customer Name: University of Pennsylvania

Account Number: 858-010018

Tax ID: 23-352685

Reference: Donor’s Name

Penn Contact Information:

Email: StockGifts@lists.upenn.edu Telephone: 215-898-7254 | Fax: 215-573-5118

   2929 Walnut Street, Suite 300

   Philadelphia, PA 19104-5099

For the University to properly identify your gift, you or your broker should notify the Securities Office of your upcoming gift transfer and leave your full name in the Reference field.